

# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number 09/668,408  
Filing Date September 22, 2000  
First Named Inventor Carl M. Ellison  
Examiner Name Unassigned  
Group/Art Unit 2131  
Attorney Docket No. 42390P8628X

## METHOD OF PAYMENT (check one)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account

Deposit Account Number 02-2666  
Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	740	2001	370	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	510	2003	255	Plant filing fee	
1004	740	2004	370	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES

Total Claims  - 20\* =  X  =   
Independent Claims  - 3 =  X  =   
Multiple Dependent

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple Dependent claim, if not paid	
1204	84	2204	42	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*or number previously paid, if greater. For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	400	2252	200	Extension for reply within second month	
1253	920	2253	460	Extension for reply within third month	
1254	1,440	2254	720	Extension for reply within fourth month	
1255	1,960	2255	980	Extension for reply within fifth month	
1404	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,280	2453	640	Petition to revive - unintentional	
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	160	1806	160	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	1809	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	740	2810	370	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	740	2801	370	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
SUBTOTAL (3)					(\$)

\* Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/Type) William W. Schaaf

Registration No. (Attorney/Agent)

39,018

## Complete (if applicable)

Telephone (714) 557-3800

Signature

Date

11/18/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.



# FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number 09/668,408  
Filing Date September 22, 2000  
First Named Inventor Carl M. Ellison  
Examiner Name Taghi T. Arani  
Art Unit 2131  
Attorney Docket No. 42390P8628X

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None  
☐ Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES

Total Claims 60 = 60 X Fee from below = Fee Paid  
Independent Claims 4 = 4 X Fee from below = Fee Paid  
Multiple Dependent

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

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1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
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1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Gregory D. Caldwell

Registration No. 39,926  
(Attorney/Agent)

Telephone (714) 557-3800

Signature

Date 06/17/04



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	09/668,408
Filing Date	September 22, 2000
First Named Inventor	Carl M. Ellison
Art Unit	2131
Examiner Name	Taghi T. Arani
Total Number of Pages in This Submission	Attorney Docket Number 42390P8628X

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ PTO/SB/08

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Basic Filing Fee

☐ Declaration/POA

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board  
of Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

- return postcard

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Gregory D. Caldwell, Reg. No. 39,926

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Signature

Date

June 17, 2004

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name Derek S. Watson

Signature

Date

June 17, 2004

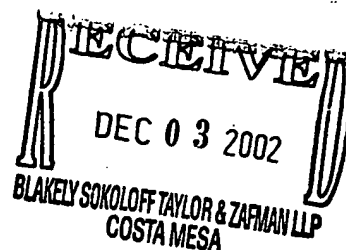


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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
LOS ANGELES



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JUN 23 2004

Technology Center 2100

Application No.: 09/668,408 Filing Date: 09/22/00 BSTZ Docket #: 042390.P8628X Atty/Sec: WWS/crr  
Date Mailed: 11/18/2002 Docket Due Date(s): \_\_\_\_\_ Client: Intel Corporation  
Title MANAGING A SECURE ENVIRONMENT USING A CHIPSET IN ISOLATED EXECUTION MODE

Inventor(s) Ellison

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

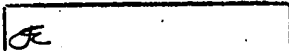
- |   |  |
|---|--|
| <input type="checkbox"/> Amendment: (___ pgs)   | <input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08 (___ pgs) |
| <input type="checkbox"/> Appeal Brief & two copies (___ pgs)                                  | <input type="checkbox"/> Issue Fee Transmittal (original & copy)                           |
| <input type="checkbox"/> Application: (___ pages w/ cover & abstract)                         | <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)            |
| <input type="checkbox"/> Assignment & Cover Sheet (___ pgs)                                   | <input type="checkbox"/> Notice of Appeal  |
| <input checked="" type="checkbox"/> Certificate of Mailing                                    | <input type="checkbox"/> Petition for: _____   |
| <input type="checkbox"/> Continued Prosecution Application (CPA)                              | <input type="checkbox"/> Request for Continued Examination (RCE)                           |
| <input type="checkbox"/> Declaration & POA (___ pgs)  | <input type="checkbox"/> Reply Brief (___ pgs)   |
| <input type="checkbox"/> Drawings: ___ sheets, ___ figures                                    | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request                |
| <input type="checkbox"/> Express Mail No: _____   | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter          |
| <input type="checkbox"/> Extension of Time: _____   | <input type="checkbox"/> Terminal Disclaimer   |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy)                         | <input type="checkbox"/> Transmittal of Formal Drawings                                    |
| <input checked="" type="checkbox"/> Other <u>Copy of Search Report and 9 Cited References</u> | <input type="checkbox"/> Transmittal of Publication Fee Due                                |
|   | <input checked="" type="checkbox"/> Transmittal Letter                                     |
|   | <input type="checkbox"/> Check No. _____ in the Amount of _____                            |
|   | <input type="checkbox"/> Check No. _____ in the Amount of _____                            |

CM  
Assignee: Intel Corporation

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B.S. I.Z. DATABASE DEPT.





Application No.: 09/668,408 Filing Date: 09/22/00 BSTZ Docket #: 042390.P8628X Attv/Sec: WWS/crr  
Date Mailed: 11/18/2002 Docket Due Date(s): \_\_\_\_\_ Client: Intel Corporation  
Title MANAGING A SECURE ENVIRONMENT USING A CHIPSET IN ISOLATED EXECUTION MODE

Inventor(s) Ellison  
*The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:*

- |   |  |
|---|--|
| <input type="checkbox"/> Amendment: (___ pgs)   | <input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08 (___ pgs) |
| <input type="checkbox"/> Appeal Brief & two copies (___ pgs)                                  | <input type="checkbox"/> Issue Fee Transmittal (original & copy)                           |
| <input type="checkbox"/> Application: (___ pages w/ cover & abstract)                         | <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)            |
| <input type="checkbox"/> Assignment & Cover Sheet (___ pgs)                                   | <input type="checkbox"/> Notice of Appeal  |
| <input checked="" type="checkbox"/> Certificate of Mailing                                    | <input type="checkbox"/> Petition for: _____   |
| <input type="checkbox"/> Continued Prosecution Application (CPA)                              | <input type="checkbox"/> Request for Continued Examination (RCE)                           |
| <input type="checkbox"/> Declaration & POA (___ pgs)  | <input type="checkbox"/> Reply Brief (___ pgs)   |
| <input type="checkbox"/> Drawings: ___ sheets, ___ figures                                    | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request                |
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| <input checked="" type="checkbox"/> Fee Transmittal (original & copy)                         | <input type="checkbox"/> Transmittal of Formal Drawings                                    |
| <input checked="" type="checkbox"/> Other <u>Copy of Search Report and 9 Cited References</u> | <input type="checkbox"/> Transmittal of Publication Fee Due                                |
|   | <input checked="" type="checkbox"/> Transmittal Letter                                     |
|   | <input type="checkbox"/> Check No. _____ in the Amount of _____                            |
|   | <input type="checkbox"/> Check No. _____ in the Amount of _____                            |

CM  
Assignee: Intel Corporation

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JUN 23 2004  
Technology Center 2100